

N°	Source	Information required	Deadline/ Remark	Previous	Status ¹	Current	Status	Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
1. Implementation obligations									
1.1.	Art. X Agreement	Report of Implementation.	Session - 60 ds (17.03.2017)	C	C	L	C	Received: 22.03.17	
1.2.	Rules Of P.	Compliance Questionnaire.	01.03.2017	L	C	C	C	Received: 28.02.17	
1.3.	SC	National Scientific Report.	16.11.2016	L	C	L	C	Received: 29.11.16	
1.4.	Commission	Feedback letter.	17.03.2017	C	C	C	C	Received: 19.07.16	
2. Management Standards									
2.1.	Res. 15/04	Documents listed in this resolution on board ²	17.03.2017	C	C	C	C	Legal Reference: Regulation (EC)No 404/2011.	
		Marking of vessels ²		C	C	C	C		
		Marking of gears ²		C	C	C	C		
		Marking of FADs ²		C	C	C	C		
		Logbook on board ²		C	C	C	C		
		Official authorisation to fish outside National Jurisdictions.	Since 15.02.2014	C	C	C	C		
		IMO number for eligible vessels.	Since 01.01.2016	P/C	P/C	C	C	Has 273 LSTVs in the RAV and 244 with IMO numbers. Has 29 non-eligible vessels in the RAV.	
2.2.	Res. 15/01	Official fishing logbooks.	Since 15.02.2016	C	C	C	C	Legal Reference: Regulation (EC)No 404/2011.	
2.3.	Res. 12/12	Ban on large-scale driftnets ²	17.03.2017	C	C	C	C	Legal Reference: Regulation (EC)No 809/2007.	
2.4.	Res. 15/08	FADs management plan.	31.12.2013	C	C	C	C	Received: 1 st Quarter 2014.	
		Report of progress on implementation of FADs management plan.	17.03.2017	C	C	L	C	Received 22.03.17	
2.5.	Res. 16/07	Prohibition of surface or submerged artificial lights to attract fish.	27.09.2016			C	C	Banned since 2015, Article 216(2) of the Treaty of the European Community.	

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

² Information is to be provided in the Report of Implementation.

³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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2.6.	Res. 16/08	Prohibition of aircrafts and unmanned aerial vehicles.	27.09.2016			L	C	Banned since 2016, Article 216(2) of the Treaty of the European Community.	
2.7.	Res. 16/01	Report on methods for achieving the YFT catch reductions.	17.03.2017			L	C	Report/information received 10 & 24.04.17, Methods are quota by fleets (ESP & FRA + ITA).	
2.8.	Res. 16/06	Report actions taken to implement reporting obligations & improve data collection of catches.	17.03.2017			L	C	Information received 22.03.17: Source IOTC-2017-CoC14-IR05	
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	C	Received 14.02.17.	
3.2.	Res. 15/11	Fleet Development Plan (FDP).	By 31.12.2010 (10 years)	C	C	C	C	Received 03.05.11 (2 nd Update).	
3.3.	Res. 15/11	Reference Capacity							
		List of vessels ³ for Tropical Tuna during 2006.	31.12.2009	C	C	C	C	Received 08.05.07	
		List of vessels ³ for SWO and ALB during 2007		C	C	C	C	Received 20.04.08	
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C	Last update 30.03.17	
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	C	C	C	C	Last update 16.01.17	
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	C	C	C	C	Received 10.02.17	
3.7.		List of foreign vessels denied a licence	15.02	L	C	C	C	Received 12.02.17. Source: CQ 2017.	
3.8.		Access Agreement information	26.02.2015	C	C	C	C	Received 08.02.13: CPC-CPC agreement with COM, MDG, MOZ, SYC. Received 22.05.14: CPC-CPC agreement with MUS, SYC	
3.9.		Official coastal State fishing License	14.01.2014	L	C	L	C	Received 27.03.15.	
4. Vessel Monitoring System									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	C	C	VMS adopted in 2001. Legal Reference: Regulation No 404/2011.	

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4.2.		VMS report on implementation and technical failures	30.06	L	C	L	C	Received 22.02.17, 30.04.17, 10.04.17 & 28.04.17. Had 80 active vessels in 2015.	
4.3.		VMS implementation plan	30.04.2016			N/A	N/A	VMS adopted 2001 and coverage 100%.	
5. Mandatory statistical requirement – Flag State CPCs									
5.1.	Res. 15/02 & Res 15/05	Nominal Catch						Received: 13.06.16	
		• Coastal fisheries	30.06	L	C	C	C		
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C		
		• LL - Provisional	30.06	C	C	C	C		
• LL - Final		30.12	C	C	C	C			
5.2.		Catch & Effort						Received: 13.06.16	
		• Coastal fisheries	30.06	C	P/C	C	C		
		• Surface fisheries: PS, BB, GN	30.06	L	C	C	C	1 flag reported data only for target species.	
		• LL - Provisional	30.06	C	P/C	C	P/C		
• LL - Final		30.12	C	P/C	C	P/C			
5.3.	Size Frequency						Not all flag provided information (FRA-Mayotte)		
	• Coastal fisheries	30.06	C	P/C	C	P/C		Received: 13.06.16	
	• Surface fisheries: PS, BB, GN	30.06	L	C	L	C	For some species reported less than 1 fish per metric tons of fish measured		
	• LL - Provisional	30.06	C	P/C	C	P/C			
5.4.	Fish Aggregating Devices (FAD)						Received: 13.06.16		
	Supply vessels	30.06	L	C	C	C		Data received 05.05.17.	
	Days at sea by supply vessels	30.06	L	C	L	C	Received: 13.06.16		
	FADs set by type	30.06	C	C	C	C			
6. Implementation of mitigation measures and bycatch of non-IOTC species									
6.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch	30.06	C	P/C	C	P/C	Not all flag provided information (FRA-Mayotte)	
		Submission of data regarding Sharks – Catch & effort	30.06	C	P/C	C	P/C	Not all flag provided information (EU-Spain, Mayotte)	

N°	Source	Information required	Deadline/ Remark	Previous	Status ¹	Current	Status	Observations	CPC Remarks
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		Submission of data regarding Sharks – Size frequency	30.06	C	P/C	C	P/C	Less than 1 fish per metric tons for some fleets (Spain-LL, France-LL)	
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	Banned since 2010; Legal Reference: REGULATION (EC) 2015/104.	
6.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	C	C	C	C	Banned since 2013; Legal Reference: REGULATION (EC) 2015/104.	
6.4.	Res. 12/04	Sea turtles report ²	17.03.2017	C	C	C	C	Data received 29.11.16; Total of 5 interactions reported in 2014. Source: IOTC-2016-SC19-NR06 (14 interactions).	
6.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	C	Banned since 2009; Legal Reference: Article 216(2) of the Treaty of the European Community.	
6.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	C	C	C	C	Source: IOTC-2016-SC19-NR06 (4 interactions)	
6.7.	Res. 12/06	Seabirds report ²	17.03.2017	C	C	C	C	Has indicated obligation in place since 2010; Legal Reference: Article 216(2) of the Treaty of the European Community.	
6.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C	Data received 29.11.16; Source: IOTC-2016-SC19-NR06.	
6.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)	L	C	L	C		

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		Instances of Cetaceans encircled ²	For PS 17.03.2017	C	C	L	C	Data received 22.03.17. Total of 3 instances reported in 2016.	
6.10	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)	L	C	L	C	Source: IOTC-2016- SC19-NR06	
		Instances Whale Sharks encircled ²	For PS 17.03.2017	C	C	C	C	Data received 22.03.17. Total of 0 instances reported in 2016.	
7. Illegal, Unreported and Unregulated (IUU) Vessels									
7.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2017)	C	C	C	C	No vessel listed on IOTC IUU list in 2016.	
7.2.	Res. 07/01	Compliance by nationals	17.03.2017	C	C	C	C	No nationals on board vessel listed on IOTC IUU list in 2016.	
8. Transhipments									
8.1.	Res. 14/06	At sea transhipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	Not participating in the IOTC ROP.	
8.2.		Transhipments in port report ²	17.03.2017	C	C	C	C	Reports received 07.03.17 & 23.03.17	
8.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A	Not participating in the IOTC ROP.	
8.4.		Report on results of investigations on possible infractions	15.02.2017	N/A	N/A	N/A	N/A		
8.5.		ROP fee	17.08.2016	N/A	N/A	N/A	N/A		
9. Observers									
9.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	17.03.2017	C	P/C	C	C	Had 80 vessels active in 2015; No. of vessels monitored: 38; Coverage by gear PS 50 % and LL 5%.	
9.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	C	C	C	C	Had 63 vessels active in 2015; >5% for EU fleet	
9.3.		• 5% Phasing in, at sea (< 24m)	2013	C	C	C	C	Had 17 vessels active in 2015; coverage >5%	
9.4.		• 5 % Phasing in Artisanal landings ²	17.03.2017	C	P/C	C	C	Source - IOTC-2016- SC19-NR06: has reported 14.3 % coverage	

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9.5.		Observer reports	150 days after trip	L	C	L	C	49 Reports received	
10. Statistical document programme									
10.1	Res. 01/06	1 st Semester report	01.10	C	C	C	C	Report received 30.09.16	
10.2		2 nd Semester report	01.04	C	C	C	C	Report received 29.03.16	
10.3		Annual report ²	17.03.2017	C	C	N/C	N/C	Mandatory report not provided. JPN imported 5 Mt and LKA 25 Mt of BET from EU in 2015.	
10.4		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last Update 26.12.16	
11. Port inspection									
11.1	Res. 05/03	Port inspection programme	01.07	C	C	C	C	Report received 13.06.16	
11.2		List of designated ports	Since 31.12.10	C	C	C	C	Received 14.01.11 and 29.07.11.	
11.3		Designated competent Authority		C	C	C	C		
11.4		Prior notification periods		C	C	C	C		
11.5		Inspection report		3 days after inspection	L	C	C		C
11.6	Res. 16/11	At least 5% inspection of LAN or TRX	Since 01.03.2011	L	C	C	C	Report received: 22.07 & 28.04.17 under Res. 05/03 Call in port: 34, by same vessel ; Inspection: 2 ; LAN/TRX monitored: 2. 1 reports provided.	
11.7		Denial of entry in port	Since 01.03.2011	L	C	C	C	Source – IOTC-2017-CoC14-CQ05: has reported that no foreign vessel was denied entry in port.	
12. Market									
12.1	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports ²	17.03.2017	C	P/C	L	P/C	Report received 22.03.17. Information provided for only two Member States; France and Spain. Information	

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								under Res. 01/06 indicate that Germany, Italy, Netherlands and Portugal imported bigeye tuna n 2016.	

Feedback to European Union on the level of implementation of IOTC Conservation and Management Measures identified by the CoC13 in 2016.

Feedback: With regards to the level of compliance by European Union to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to CEuropean Union by the Chair of the Commission in a letter dated 27th May, 2016.

• Has not fully reported catch and effort for the longline fisheries (data reported for target species only), as required by Resolution 15/02.
• Has not reported size frequency to IOTC Standard for the longline fisheries (less than1 fish per metric ton of catch per species), as required by Resolution 15/02.
• Has not reported nomical catch, catch and effort and size frequency on sharks to IOTC Standard, as required by Resolution 05/05.
• Has not reported catch and effort for the coastal fisheries for the fleet of Mayotte, as required by Resolution 15/02.
• Has not reported size frequency for the coastal fisheries for the fleet of Mayotte, as required by Resolution 15/02.
• Has not reported on import, landing and transhipment of tuna and tuna-like fish products in ports, as required by Resolution 10/10.

Response: The response to the letter of the Chair of the Commission was provided by European Union on 19 July 2016.

Current issues on the level of implementation by European Union of IOTC Conservation and Management Measures identified for discussion in the CoC14 in 2017.

Having reviewed the 2017 Compliance Report for European Union, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Compliance issues	Current status (2017)	Previous Status (2016)
Repeated compliance issues		
• Has not fully reported catch and effort for the longline fisheries (data reported for target species only), as required by Resolution 15/02.	P/C	P/C
• Has not reported size frequency for the coastal fisheries for the fleet of Mayotte, as required by Resolution 15/02.	P/C	P/C
• Has not reported size frequency to IOTC Standard for the longline fisheries (less than1 fish per metric ton of catch per species), as required by Resolution 15/02.	P/C	P/C
• Has not reported days at sea for all flag vessels, as required by Resolution 15/02.	P/C	P/C
• Has not reported nomical catch, catch and effort and size frequency on sharks to IOTC Standard, as required by Resolution 05/05.	P/C	P/C
• Has not reported on import, landing and transhipment of tuna and tuna-like fish products in ports, as required by Resolution 10/10.	P/C	P/C
Non-repeated compliance issues		
• Has not provided its Annual Report for the IOTC Bigeye Tunas Statistical Document Programme, as required by Resolutiom 01/06.	N/C	C