

## Review the possible infractions detected under the Regional Observer Programme in 2019

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### PURPOSE

To provide participants at the Working Party on the Implementation of Conservation and Management Measures (WPICMM) with the list of possible infractions, against IOTC CMMs, detected under the Regional Observer Programme in 2019 and as recorded by observers deployed under the at-sea transshipment programme.

### BACKGROUND

The component 10, sub-component 10.1, of the work Plan of the WPICMM comprise the following activities:

<b>10</b>	<b>Monitor the development of, and recommend actions for the list of Large Scale Tuna Longline Vessels (LSTLVs)/carrier vessels presumed to have committed infractions of IOTC CMMs, as recorded by observers deployed under the at-sea transshipment programme</b>
<b>10.1</b>	<i>List of possible infractions under the ROP</i>
10.1.1	Review of the evidence to be presented on vessels presumed to have committed the infractions
10.1.2	Request for further evidence from the CPCs on the list of vessels presumed to have committed infractions
10.1.3	Recommend actions for the CoC on the list of vessels presumed to have committed infractions
10.1.4	Monitor the development of recommended actions on the vessels that have committed infractions
10.1.5	Recommend that the list of possible infraction under the ROP is presented to the WPICMM

### DISCUSSION

The work plan of the Working Party on the Implementation of Conservation and Management measures (WPICMM), component 10 “Monitor the development of, and recommend actions for the list of Large Scale Tuna Longline Vessels (LSTLVs)/carrier vessels presumed to have committed infractions of IOTC CMMs, as recorded by observers deployed under the at-sea transshipment programme”, sub-component 10.1 makes provision for CPCs to review the list of possible infractions detected under the ROP and Recommend actions for the CoC on the list of vessels presumed to have committed infractions (Activity 10.1.3).

In spite of the above, the Sixteenth Session of the Compliance Committee (CoC) made the following recommendation:

Notwithstanding the timing of the WPICMM meeting and the deadline for responses, the CoC RECOMMENDED that the responses to the possible infractions be analyse by the IOTC Secretariat, CoC16.08 (Para 31).

In view of the above recommendation the IOTC Secretariat has provided the list of possible infractions reported up to the 20<sup>th</sup> December 2019 (Annex I), in order to receive the results of the investigations by 15<sup>th</sup> January 2020, from the concerned fleets.

In line with the requirement of IOTC Resolution 19/06 *On establishing a programme for transshipment by large-scale fishing vessels*, this document provides a summary of possible infractions against IOTC Resolutions by Large Scale Tuna Longline Vessels (LSTLVs) and carrier vessels, as recorded by observers deployed under the Programme during 2019.

Paragraph 26. The IOTC Secretariat shall, when providing CPCs with copies of all raw data, summaries

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and reports in accordance with paragraph 10 of **Annex IV** to this Resolution, also indicate evidence indicating possible infraction of IOTC regulations by LSTLVs/carrier vessels flagged to that CPC. Upon receiving such evidence, each CPC shall investigate the cases and report the results of the investigation back to the IOTC Secretariat three months prior to the IOTC Compliance Committee meeting. The IOTC Secretariat shall circulate among CPCs the list of names and flags of the LSTLVs/Carrier vessels that were involved in such possible infractions as well as the response of the flag CPCs 80 days prior to the IOTC Compliance Committee meeting.

The summary of possible infractions are presented under five distinct categories (Possible infractions relating to authorisation to fish (ATF); Possible infractions relating to Vessel Monitoring System (VMS); Possible infractions relating to fishing logbooks; Possible infractions relating to marking of fishing vessels; Possible infractions relating to National Registration Number (NRN)) and by fleets, in Table 1. Detailed information of these possible infractions are provided in Annex I. The observations that have been made by observers are in fulfilment of observer tasks, as provided for in Resolution 19/06.

Annex IV, Paragraph 5. The observer tasks shall be in particular to:

- a) On the Fishing Vessel intending to tranship to the carrier vessel and before the transhipment takes place, the observer shall:
  - i. check the validity of the fishing vessel's authorisation or licence to fish tuna and tuna like species in the IOTC Area of competence;
  - ii. check and note the total quantity of catch on board, and the quantity to be transferred to the carrier vessel;
  - iii. check that the VMS is functioning and examine the logbook;
  - iv. verify whether any of the catch on board resulted from transfers from other vessels, and check documentation on such transfers;
  - v. in the case of an indication that there are any violations involving the fishing vessel, immediately report the violations to the carrier vessel's master,
  - vi. report the results of these duties on the fishing vessel in the observers report.

In all, up to the 20<sup>th</sup> December 2019, a total of 178 possible infractions were recorded (2018: 235), of which:



- 33 related to fishing logbook (2018: 58),
- 51 related to marking of vessels (2018: 51),
- 22 related to ATF (2018: 32),
- 70 related to VMS (2018: 68),
- 2 related to NRN.

These were communicated to the concerned fleets participating in the Programme, as and when the concerned deployment reports were approved by the IOTC Secretariat.

Of the 178 possible infractions notified to the participating fleets, 169 (95%) responses were received. The fleets of Oman and Seychelles have not yet provided all responses for the cases observed, as indicated in Table 1. Five fleets, China, Japan, Kenya, Malaysia and Taiwan, Province of China, have provided their responses before the deadline of 15<sup>th</sup> January 2020, and these are provided in paper IOTC-2020-WPICMM03-08b.

Table 1 – Summary of possible infractions by category of possible infraction and by participating fleets in 2019.

		China	Taiwan, Province of China	Japan	Kenya	Korea	Malaysia	Oman	Seychelles	Total by Category
Authorisation to Fish (ATF)	Possible infractions	14	5		1			1	1	22
	Responses received	14	5		1			0	0	20
Vessel Monitoring System (VMS)	Possible infractions	9	57	3					1	70
	Responses received	9	57	3					0	69
Fishing Logbook	Possible infractions	9	16	3	1			1	3	33
	Responses received	9	16	3	1			0	1	30
Marking of vessel	Possible infractions	21	19				8		3	51
	Responses received	21	19				8		0	48
NRN	Possible infractions	2								2
	Responses received	2								2
Total by fleet	Possible infractions	55	97	6	2		8	2	8	178
	Responses received	55	97	6	2		8	0	1	169

 No possible infraction notified  
 Fleet(s) with missing response(s) to possible infraction(s) notified

## RECOMMENDATION

That the WPICMM03:

- 1) **NOTE** paper IOTC–2020–WPICMM03–08a.
- 2) **NOTE** that two CPCs, Oman and Seychelles, have yet to submit all the results of their investigations.
- 3) **NOTE** that five fleets, China, Japan, Kenya, Malaysia and Taiwan, Province of China, have provided their responses before the deadline of 15<sup>th</sup> January 2020.

**Annex I**
**List of possible infractions detected under the Regional Observer Programme in 2019**

*Rows highlighted in orange indicate that no response was received from the concerned fleet / Rows not highlighted indicate that a response was received from the concerned fleet.*

**CHINA**

Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
522	LONGXING635	02/11/18	The vessel name was marked on the bow but was difficult to see clearly at a distance due to the fouling on the hull.	Marking	01/02/19	18/02/19
522	LONGXING636	02/11/18	The name of the LSTLV partially obscured by rust and not visible from a distance	Marking	01/02/19	18/02/19
522	LONG XING 637	24/11/18	The vessel name on the bow was not visible due to fouling	Marking	01/02/19	18/02/19
522	LU QING YUAN YU 101	13/12/18	Vessel markings on the bow not visible at distance due to fouling	Marking	01/02/19	18/02/19
522	LU QING YUAN YU 107	13/12/18	The LSTLV name on the bow was not legible due to algae coverage.	Marking	01/02/19	18/02/19
522	LU QING YUAN YU 107	13/12/18	The vessels VMS power light was off indicating that it may not have been working at the time of transhipment	VMS	01/02/19	18/02/19
522	LU QING YUAN YU 108	14/12/18	Part of the name on the bow was worn away making it difficult to read.	Marking	01/02/19	18/02/19
523	TAI HONG NO.1	04/12/18	In the observer's version of the Access Database vessel register as well as the vessel register downloaded from IOTC prior to the voyage start reflected the NRN as "YG020J080072". The IOTC vessel register was checked during the debrief and reflected the NRN as "(Lu)ChuanDeng(Ji)(2017)FT-200158" Neither of these IOTC listed NRNs concurred with the NRN "(LU) CHUANDENG (JI) (2012) FT-200045" as reflected by the LSTLV ATF.	NRN	21/02/2019	29/12/19

Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
523	TAI HONG NO.2	06/12/18	In the observer's version of the Access Database vessel list as well as the IOTC vessel list downloaded prior to the voyage reflected the NRN for the TAI HONG NO.2 as "YG020J080073". The NRN reflected by online IOTC vessel list at the time of the debriefing reflected the NRN as "(Lu)ChuanDeng(Ji)(2017)FT-200159". The LSTLV's ATF reflected the NRN "(LU) CHUANDENG (JI) (2012) FT-200046" which did not concur with any of the IOTC records.	NRN	21/02/2019	29/12/19
534	LONG XING 637	02/01/19	The starboard bow markings of the LSTLV was covered with fouling as was not legible	Marking	22/02/2019	01/03/19
534	LONGXING635	02/01/19	The starboard bow marking was partially worn and covered with fouling. As a result, the markings were not legible unless the LSTLV was at a small distance	Marking	22/02/2019	01/03/19
534	LU QING YUAN YU 101	03/01/19	The black paint of the English starboard bow markings was mostly worn away and was not legible unless the ship was at very close range	Marking	22/02/2019	01/03/19
534	LU QING YUAN YU 108	04/01/19	The black paint of the English starboard bow markings was mostly worn away and was not legible unless the ship was at very close range	Marking	22/02/2019	01/03/19
534	LU QING YUAN YU 105	04/01/19	The VMS unit was fitted with a power switch.	VMS	22/02/2019	01/03/19
534	LU QING YUAN YU 107	05/01/19	The English bow markings of the LSTLV was not clearly legible as the black paint had worn away	Marking	22/02/2019	01/03/19
534	LU QING YUAN YU 107	05/01/19	The LSTLV was not fitted with a VMS unit.	VMS	22/02/2019	01/03/19
534	LU QING YUAN YU 102	06/01/19	The English bow markings of the LSTLV was not clearly legible as the black paint had worn away and was partially covered by fouling	Marking	22/02/2019	01/03/19
534	LU QING YUAN YU 106	06/01/19	The English bow markings of the LSTLV was not clearly legible as the black paint had worn away and was partially covered by fouling	Marking	22/02/2019	01/03/19
543	LU QING YUAN YU 106	15/02/19	Vessel markings were worn and partially obscured. These were unable to be read clearly at a distance.	Marking	01/04/2019	09/04/19
543	LU QING YUAN YU 102	16/02/19	Vessel markings were worn and partially obscured. These were unable to be read clearly at a distance.	Marking	01/04/2019	09/04/19
540	TAI HONG NO.2	08/03/19	The ATF indicated the NRN as "FT-200046" which did not concur with the current NRN "FT-200159" provided by IOTC.	ATF	16/05/19	03/08/19

Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
540	XIN SHI JI 76	10/03/19	The observer checked the last two pages of the fishing logbook. The last page was not numbered with a consecutive page number and the second last page was numbered.	Logbook	16/05/19	03/08/19
545	LU QING YUAN YU 102	14/03/19	On the LSTLV's starboard bow, the lettering, for the vessel name markings in English, were only faintly visible due to a lack of paint. The name was not legible at a distance.	Marking	03/06/19	07/09/19
545	LONG XING 637	14/03/19	The AGOS VMS system was fitted with a switch which was situated below the work surface directly below the VMS unit.	VMS	03/06/19	07/09/19
545	LU QING YUAN YU 107	17/03/19	On the starboard bow, the English vessel name markings were faded or painted over. As a result the name was not legible at a distance. The Chinese markings were clearly applied.	Marking	03/06/19	07/09/19
545	LU QING YUAN YU 108	17/03/19	On the starboard bow, the English vessel name markings ("LU QING YUAN YU") appeared to have been painted over with white paint. As a result the name was not legible at a distance. The Chinese markings were clearly applied.	Marking	03/06/19	07/09/19
545	SHEN HUI 06	22/03/19	In the IOTC record and the ATF, the LSTLV International Radio Call sign (IRCS) was indicated as "BZXI27." However, the IRCS was marked on the LSTLV starboard side as "BZX127".	ATF	03/06/19	07/09/19
553	TAI HONG NO.1	25/04/19	The ATF provided the NRN "FT-200045". The IOTC vessel list of currently authorised vessels provided the current NRN as "FT - 200158" which was verified during the debriefing. According to the IOTC historical records, the NRN "FT-200045" was used for the period 11/03/2014 to 09/02/2015. The current ATF which was valid for the period 01/04/2017 to 31/03/2020 is therefore reflecting the incorrect NRN for the validity period.	ATF	04/06/19	03/08/19
553	TAI HONG NO.2	26/04/19	The ATF provided the NRN "FT-200046". The IOTC vessel list of currently authorised vessels provided the current NRN as "FT - 200159" which was verified during the debriefing. According to the IOTC historical records, the NRN "FT-200046" was used for the period 11/03/2014 to 09/02/2015. The current ATF which was valid for the period 01/04/2017 to 31/03/2020 is therefore reflecting the incorrect NRN for the validity period	ATF	04/06/19	03/08/19
542	LU RONG YUAN YU 267	25/02/19	Logbook pages were not consecutively numbered	LOGBOOK	27/06/19	29/12/19
542	LU RONG YUAN YU 269	28/02/19	Logbook pages were not consecutively numbered	LOGBOOK	27/06/19	29/12/19
542	LU RONG YUAN YU 268	01/03/19	The LSTLV's name on the bow was partially obscured by rust making it difficult to read clearly at a distance	Marking	27/06/19	29/12/19
542	JIN XIANG 8	15/04/19	Logbook pages were not consecutively numbered	Logbook	27/06/19	29/12/19

Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
542	HONG YANG 89	07/10/04	NRN number did not match the IOTC database. In the database the national registration number was (LU)CHUANDENG (JI)(2018) FT-200141, however on the ATF and TD the registration number was indicated as (LU)CHUANDENG(JI)(2016) FT 200047	ATF	27/06/19	29/12/19
542	LU RONG YUAN YU 201	03/05/19	The logbook pages were not consecutively numbered and did not display the vessel name	LOGBOOK	27/06/19	29/12/19
542	LU RONG YUAN YU 201	03/05/19	The NRN on the ATF was (LU) CHUANGDENG (JI) (2018) FT-200065, which differed from the database, which indicated the NRN to be (LU)CHUANDENG (JI)(2016) FT-200048.	ATF	27/06/19	29/12/19
542	LU RONG YUAN YU 327	03/05/19	The NRN on the ATF was (LU) CHUANGDENG (JI) (2018) FT-200215, which differed from the database, which indicated the NRN to be (LU)CHUANDENG (JI)(2013) FT-200214	ATF	27/06/19	29/12/19
542	LU WEI YUAN YU 588	07/05/19	The NRN on the ATF was (LU) CHUANGDENG (JI) (2018) FT-200172, which differed from the database, which indicated the NRN to be (LU)CHUANDENG(JI)(2016)FT-200039	ATF	27/06/19	29/12/19
563	TAI XIANG 2	16/06/19	The header sections have not been filled in across all checked pages of the logbook. Due to this missing information there are no identifiable data indicating that the logbook belongs to this vessel. The pages are also not numbered	Logbook	23/07/19	03/08/19
574	XIN SHI JI 83	27/06/19	The name on the bow of the LSTLV was partially worn away. It could not be read clearly at a distance	Marking	23/07/19	03/08/19
574	TAI XIANG 2	28/06/19	The vessels stern marking reads "Tai Xiang" the number recorded above does not indicate clearly the vessel is the "Tai Xiang 2"	Marking	23/07/19	03/08/19
574	TAI XIANG 2	28/06/19	Pages numbers were missing in the logbook	Logbook	23/07/19	03/08/19
576	ZHANG YUAN YU 24	11/07/19	The LSTLV VMS unit was connected to a power supply which was fitted with a power switch.	VMS	24/09/19	19/10/19
576	ZHANG YUAN YU 22	11/07/19	The LSTLV VMS unit was connected to a power supply which was equipped with a power switch	VMS	24/09/19	19/10/19
576	ZHANG YUAN YU 22	11/07/19	The ATF which was not very clearly printed reflected a NRN "(LIAO)CHUANDENG (JI)(2016) FT-200072", which the IOTC vessel record provides for the expired historic period 05/04/2017 to 31/03/2018. This NRN does not concur with the current NRN provided by the IOTC vessel list is "No.GH-0301(2018)Guoyu(gong)".	ATF	24/09/19	19/10/19
576	TAI XIANG 8	18/07/19	The LSTLV VMS unit was connected to a power supply fitted with a power switch	VMS	24/09/19	19/10/19
576	TAI XIANG 9	20/07/19	The LSTLV VMS unit was connected to a power supply fitted with a power switch	VMS	24/09/19	19/10/19



Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
576	TAI HONG NO.1	20/07/19	The ATF reflected the NRN of the LSTLV as "(Lu)ChuanDeng(Ji)(2012)FT-200045" which is the NRN provided in the IOTC historical records for the expired period 11/04/2014 to 09/02/2015. The current IOTC list provide to the observer reflected the current NRN as "(Lu)ChuanDeng(Ji)(2017)FT-200158" which did not concur with the NRN on the in-date ATF.	ATF	24/09/19	19/10/19
576	TAI HONG NO.2	22/07/19	The ATF on board reflected the ATF as "(Lu)ChuanDeng(Ji)(2012)FT-200046". This concurred with the NRN provided for the expired historical authorisation period from 11/03/2014 to 09/02/2015. The IOTC vessel provided for the voyage provided the current NRN as "(Lu)ChuanDeng(Ji)(2017)FT-200159" which did not concur with the NRN provided on the ATF which was in-date	ATF	24/09/19	19/10/19
566	HONG YANG 89	27/06/19	The NRN provided in the ATF did not concur with the record provided to the observer or any of the historical records provided on the IOTC website. The NRN on the ATF was "(LU) CHUANDENG (JI) (2016) FT-200047", the NRN on the observer's reference records was "(LU)CHUANDENG (JI)(2018) FT-200141" and the IOTC records provided the NRNs "(LU)CHUANDENG (JI)(2018) FT-200141" (current) and the historical NRNs "(LU)CHUANDENG (JI)(2017) FT-200012" and "(LU)CHUANDENG(JI)(2012)FT-200026".	ATF	25/09/19	11/01/2020
566	LU RONG YUAN YU 201	27/06/19	The ATF provided the NRN "(LU)CHUANDENG (JI)(2016) FT-200048". The IOTC vessel record provided to the observer as well as the current NRN reflected on the IOTC website was "(LU)CHUANDENG (JI)(2018) FT-200065". The IOTC website provided the NRN "(LU)CHUANDENG (JI)(2016) FT-200048" as a historical record together with the NRN "(JI)(2013)FT-200039"	ATF	25/09/19	11/01/2020
566	LU RONG YUAN YU 201	27/06/19	The VMS was wired via a circuit breaker switch.	VMS	25/09/19	11/01/2020
566	LU RONG YUAN YU 202	28/06/19	The NRN provided by the NRN on board ((LU)CHUANDENG (JI)(2016) FT-200049) did not match the NRN provided in the observer's IOTC vessel list ((LU)CHUANDENG (JI)(2018) FT-200066). The NRN of the currently authorised vessel provided by IOTC concurred with the observer's record. The IOTC record listed the NRN "(LU)CHUANDENG (JI)(2016)" as a historical record (2017-04-01 to 2018-04-09).	ATF	25/09/19	11/01/2020
577	LU QING YUAN YU 102	20/08/19	Logbook pages 25 and 26 were missing, as can be seen from the exposed binding, as a result records from the 12/07/2019 to 22/07/2019 were absent from the logbook	Logbook	30/10/19	11/01/20
577	LU QING YUAN YU 107	25/08/19	The vessel maintained bound logbook; however, the pages were unnumbered, but kept in a consecutive order completed by date	Logbook	30/10/19	11/01/20

**KENYA**

Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
563	Shang Jyi	22/06/19	The vessel ATF was a part printed and part hand written document torn at the edges and laminated. Aside from the vessel name, no identifiers were present on the document.  The Kenyan ATF provided to the observer could not be cross referenced for its authenticity. The consortium has not been provided with an ATF template for this flag state. No area of operation was identified on the document.	ATF	23/07/19	12/01/2020
563	Shang Jyi	22/06/19	Logbook pages were unbound and without page numbers. The logbook provided to the observer could not be cross referenced for its authenticity. The consortium has not been provided with a logbook template for this flag state.	Logbook	23/07/19	12/01/2020

**JAPAN**

Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
570	FUKURYU MARU No.21	03/06/19	The VMS of the LSTLV was not plugged in when the observer boarded and the power light was off. The VMS was plugged in and activated after the Captain was been informed	VMS	08/08/19	15/01/2020
572	FUKUTOKU MARU No. 88	18/07/19	The fishing log was recorded on computer and the pages viewed were not marked with sequential page numbers. The space allowed for the page number was not completed	Logbook	24/09/19	15/01/2020
566	SHOHO MARU No.1	17/06/19	The VMS switch on the unit (JRC JUE-95VM) was on, but none of the LEDs were illuminated	VMS	25/09/19	15/01/2020
566	SHOHO MARU No.1	31/07/19	During a previous boarding of this LSTLV on 17/06/2019 (t/s # 13), the observer informed the master that the VMS was not working. During this inspection, the VMS was still out of order and the master indicated that the antenna was faulty and could only be repaired when the vessel visited a port	VMS	25/09/19	15/01/2020
577	KINEI MARU No.18	29/09/19	The vessel maintained single sheet logbooks kept together loosely in a folder	Logbook	30/10/19	15/01/2020
577	SHOHO MARU No.1	29/09/19	The vessel maintained single sheet logbooks kept together in a spring folder. The pages were unnumbered, but kept in a consecutive order by date.	Logbook	30/10/19	15/01/2020

**MALAYSIA**

Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
567	KHA YANG 5	11/06/19	The starboard bow name and NRN markings of the LSTLV was worn and not clearly legible	Marking	11/07/2019	15/01/20
571	KHA YANG 5	15/07/19	The vessels stern and bow markings were partially worn away, making them hard to read clearly	Marking	15/10/2019	15/01/20
571	KHA YANG 35	15/07/19	The vessels previous callsign remained partially visible underneath the correct callsign, making it difficult to read clearly at a distance.	Marking	15/10/2019	15/01/20
578	KHA YANG 35	13/08/19	The vessels previous callsign remained partially visible underneath the correct callsign, making it difficult to read clearly at a distance	Marking	30/10/19	15/01/20
578	KHA YANG 5	13/08/19	The vessels stern were partially worn away, making them hard to read clearly.	Marking	30/10/19	15/01/20
590	KHA YANG 5	18/10/19	Part of the vessel name on the bow was fully worn away making it difficult to read clearly at a distance.	Marking	21/11/19	15/01/20
586	KHA YANG 35	17/09/19	The vessels bow markings were partially obscured by rust and algal growth, making them difficult to read clearly at a distance.	Marking	21/11/19	15/01/20
586	KHA YANG 5	19/09/19	Part of the vessel name on the bow and stern were fully worn away making it difficult to read clearly at a distance.	Marking	21/11/19	15/01/20

**OMAN**

Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
545	SINAW 16	06/04/19	The ATF produced during the on-board check did not match the flag state template provided to the observer. The LSTLV ATF also did not reflect the same National Registration Number (NRN) as in the observer's IOTC records. The ATF reflected the "Registration No" "1696009" while the IOTC records provided the NRN "252".	ATF	03/06/19	
545	SINAW 16	06/04/19	The fishing log consisted of unbound sheets without consecutive page numbers. The format printed on the sheet did not match the format of the template provided by IOTC.	Logbook	03/06/19	

**SEYCHELLES**

Deploy. Number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
522	NF DAFA NO.168	29/11/18	The vessel name was marked on the bow but was difficult to see clearly at a distance due to part of the name being fully worn away	Marking	01/02/19	
522	Phoenix	07/12/18	The vessel phoenix bow markings were confusing to read clearly due to the rusting of the previous vessel markings underneath the print	Marking	01/02/19	
545	NF Alpha Gold No. 6	26/03/19	The vessel details were absent from logbook entries and could therefore not effectively link the logbook to the LSTLV.	Logbook	03/06/19	
559	Farquhar No. 2	06/05/19	The Argos Seimac unit was fitted with a switch.	VMS	04/06/19	
559	Phoenix	09/05/19	The LSTLV bow markings were worn to such an extent that the name was not legible at a distance.	Marking	04/06/19	
567	NF Tuna Peak No. 1	04/06/19	The ATF produce on board appeared to be for a different vessel. The ATF reflected the vessel name as "NF Tuna Peak", the National Registration Number (NRN) as "50269" and the International radio call Sign (IRCS) as S7JF	ATF	11/07/19	
577	CHUN I NO. 326	25/09/19	The vessel maintained single sheet logbooks kept together loosely in a folder.	Logbook	30/10/19	
579	ASHUNEYU	20/07/2019	The vessels Logbook passed to the observer from the FV contained single sheets stapled together and kept in a plastic wallet.	Logbook	31/10/19	03/12/19

**TAIWAN, PROVINCE OF CHINA**

Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
522	YING YONG HSIANG	23/10/18	The ATF provided to the observer was a poor quality print of a photograph of the licence. The original was not provided to the observer. The ATF was difficult to read clearly and only available in Taiwanese	ATF	01/02/19	05/07/19
522	SHENG FAN NO.399	05/12/18	The VMS was fitted with a power switch	VMS	01/02/19	05/07/19
536	DE HAI NO.12	31/12/18	Part of the name on the bow was obscured by algal growth, making it hard to read at a distance	Marking	06/02/19	29/05/19
523	CHARNG LUEN NO.22	30/11/18	The LSTLV was fitted with a CLS LEO and an ARGOS MARGE V2 VMS units. Both the VMS units were fitted with power switches directly below the units.	VMS	21/02/2019	15/05/19
535	HWA SHAN NO.301	18/01/19	The Argos Seimac VMS system was fitted with a power switch which was labelled "ARGOS"	VMS	22/02/2019	06/05/19
528	YU HSING HSIANG NO.168	11/01/19	The vessel name marking on the bow was not clearly visible and partially obscured by dirt, making the name difficult to read clearly at distance	Marking	22/02/2019	03/06/19
541	CHIN SHENG WIN	17/02/19	The LSTLV registration number on the starboard bow was obscured by fouling.	Marking	25/03/19	16/05/19
548	LIAN YU CHYUAN NO.6	08/03/19	The LSTLV's ATF expired on 31/12/2018. The observer specifically requested to see the in-date ATF, but no other ATF was shown to the observer.	ATF	16/05/19	04/06/19
548	REN HORNG DAR No.68	14/03/19	The VMS unit was connected to a switch.	VMS	16/05/19	04/06/19
548	CHUAN YI	23/03/19	The VMS system was fitted with a power switch.	VMS	16/05/19	04/06/19
545	SHENG FAN NO.119	02/03/19	The Seimac VMS and ARGOS MARGE V2 VMS systems were both fitted with power switches.	VMS	03/06/19	23/10/19
545	DAR LONG CHENG NO.288	05/03/19	The ATF on board was valid up to 31/12/2018 and was therefore expired. This was the only ATF available on board	ATF	03/06/19	23/10/19
545	SHENG HAI NO.127	21/03/19	The VMS system was fitted with a power switch.	VMS	03/06/19	23/10/19
545	SHUN FENG NO.18	05/04/19	Both the CLS LEO VMS units were fitted with power switches.	VMS	03/06/19	23/10/19

Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
561	CHI SHENG NO.6	11.04.19	The letter 'H' partially erased or repainted to look like "I I" on the stern, making this difficult to read clearly at a distance and can be considered misleading.	Marking	04/06/19	13/12/19
561	WEI DE NO.6	21.04.19	LSTLV (IRCS) Callsign on side was not clearly visible due to fouling, making it difficult to read at a distance.	Marking	04/06/19	13/12/19
561	DER HAE NO.3	21.04.19	LSTLV Name and National Register number not clearly visible on bow due to fouling, making it difficult to read clearly at a distance	Marking	04/06/19	13/12/19
560	SHUI HO CHENG	08/05/19	The VMS system was fitted with a power switch fitted directly below the unit.	VMS	04/06/19	31/10/19
560	DING YANG	12/05/19	The LSTLV Ding Yang displayed the NRN of the ship as "CT4-2956" on the starboard bow of the ship. This did not concur with the NRN CT4-2950" provided in the IOTC vessel list and the LSTLV Authorisation to Fish (ATF).	Marking	04/06/19	31/10/19
560	AN WEN FA NO.26	17/05/19	The power light of VMS unit (no 512078) was not illuminated	VMS	04/06/19	31/10/19
560	AN WEN FA NO.36	18/05/19	The VMS system was fitted with a power switch, which was marked "ARGOS".	VMS	04/06/19	31/10/19
554	SHUN FENG NO.18	28/04/19	The LSTLV VMS (Argos/CLS LEO) was fitted with a power switch which was switched on at the time of the on-board inspection	VMS	02/07/19	05/11/19
554	CHUAN YI	30/04/19	The LSTLV VMS system was fitted with a power switch which was switched on at the time of the on-board inspection	VMS	02/07/19	05/11/19
554	DE YI NO.66	12/05/19	The VMS system was fitted with a power switch that was switched on at the time of the on-board check.	VMS	02/07/19	05/11/19
554	SHENG FAN NO.699	23/05/19	The VMS was fitted with a power switch that was switched on at the time of the on-board inspection.	VMS	02/07/19	05/11/19
554	WIN LONG	19/05/19	The LSTLV markings on the bow of the vessel were worn and not legible. The vessel name was partially illegible and the NRN was completely illegible.	Marking	02/07/19	05/11/19
564	SHYANG CHYANG NO.888	20/05/19	The LSTLV name on the stern displayed only "SHYANG CHYANG 88" without the "No. 88". This differs from the bow and can potentially be considered misleading.	Marking	02/07/19	23/12/19
564	Feng Cuo NO.668	23/05/19	FV name on the stern partially covered by rust, making it difficult to read clearly from a distance.	Marking	02/07/19	23/12/19
564	DER HAE NO.3	30/05/19	FV name and the NRN on the bow present and correct but unclear.	Marking	02/07/19	23/12/19

Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
564	WEI DE NO.6	01/06/19	FV name and the NRN on the bow present but unclear (faded), IRCS on the side of the LSTLV covered with rust, unclear and difficult to read correctly at a distance	Marking	02/07/19	23/12/19
564	WEII SHEN	02/06/19	LSTLV name on the stern unclear, partially covered by dirt making the name difficult to read clearly from a distance.	Marking	02/07/19	23/12/19
562	YI FENG NO.168	17/05/19	The VMS was connected via a power switch mounted directly below the unit.	VMS	23/07/19	13/12/19
562	YI FENG NO.682	17/05/19	The VMS was fitted to a power switch via the VMS power supply.	VMS	23/07/19	13/12/19
562	JIA YI FA	28/05/19	The VMS was connected to a power switch mounted to the right of the unit.	VMS	23/07/19	13/12/19
562	SHIN LIAN FA NO.36	29/05/19	The VMS was connected to a power switch.	VMS	23/07/19	13/12/19
562	JAIN HSUAN NO.202	02/06/19	The VMS was connected to a power switch mounted directly above the unit.	VMS	23/07/19	13/12/19
562	SHYANG CHYANG NO.8	15/06/19	The vessel name markings on the stern of the ship was partially worn away and not clearly legible	Marking	23/07/19	13/12/19
562	EAGLE	19/06/19	The VMS was connected to a power switch mounted to the right and above of the unit.	VMS	23/07/19	13/12/19
556	WEN DAR NO.36	12/05/19	The VMS was connected to a power supply switch, which was poorly fitted	VMS	13/08/19	23/12/19
556	SHUN FENG NO.8	16/05/19	The header information of all the fishing logbook pages checked by the observer were not completed, and the log sheets could therefore not effectively be linked with the LSTLV	Logbook	13/08/19	23/12/19
556	FWU FA NO.6	16/05/19	The header information of all the fishing logbook pages checked by the observer were not completed, and the log sheets could therefore not effectively be linked with the LSTLV	Logbook	13/08/19	23/12/19
556	RUEY I SHYANG NO.8	18/05/18	The CLS VMS unit was fitted with a power switch.	VMS	13/08/19	23/12/19
556	RUEY I SHYANG NO.7	18/05/19	The VMS unit was fitted with a power switch	VMS	13/08/19	23/12/19
556	RUEY I SHYANG NO.3	18/05/19	The VMS unit was fitted with a power switch	VMS	13/08/19	23/12/19
556	LIEN SHENG FA	23/05/19	The VMS unit was fitted with a power switch	VMS	13/08/19	23/12/19

Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
556	RLEY CHIEN TSAI NO.116	22/06/19	The markings on the bow were not clear and not legible	Marking	13/08/19	23/12/19
556	RLEY CHIEN TSAI NO.116	22/06/19	The header information of all the fishing logbook pages checked by the observer were not completed, and the log sheets could therefore not effectively be linked with the LSTLV	Logbook	13/08/19	23/12/19
556	AN WEN FA NO.36	24/06/19	The header information of all the fishing logbook pages checked by the observer were not completed, and the log sheets could therefore not effectively be linked with the LSTLV.	Logbook	13/08/19	23/12/19
556	FWU TSAIR YIH NO.2	25/06/19	The header information of all the fishing logbook pages checked by the observer were not completed, and the log sheets could therefore not effectively be linked with the LSTLV.	Logbook	13/08/19	23/12/19
556	SHUN FENG NO.8	26/06/19	The header information of all the fishing logbook pages checked by the observer were not completed, and the log sheets could therefore not effectively be linked with the LSTLV.	Logbook	13/08/19	23/12/19
556	FWU FA NO.6	27/06/19	The header information of all the fishing logbook pages checked by the observer were not completed, and the log sheets could therefore not effectively be linked with the LSTLV.	Logbook	13/08/19	23/12/19
556	JINN JYI CHYUN NO.66	27/06/19	The header information of all the fishing logbook pages checked by the observer were not completed, and the log sheets could therefore not effectively be linked with the LSTLV.	Logbook	13/08/19	23/12/19
556	RUEY I SHYANG NO.8	27/06/19	The VMS was connected to a power supply switch	VMS	13/08/19	23/12/19
556	RUEY I SHYANG NO.8	27/06/19	The header information of all the fishing logbook pages checked by the observer were not completed, and the log sheets could therefore not effectively be linked with the LSTLV.	Logbook	13/08/19	23/12/19
556	RUEY I SHYANG NO.7	27/06/19	The VMS was connected to the power supply switch	VMS	13/08/19	23/12/19
556	RUEY I SHYANG NO.3	28/06/19	The VMS was connected to the power supply switch	VMS	13/08/19	23/12/19
556	TENN MING YANG NO.101	02/07/19	The header information of all the fishing logbook pages checked by the observer were not completed, and the log sheets could therefore not effectively be linked with the LSTLV	Logbook	13/08/19	23/12/19
556	GUAN WANG NO.21	04/07/19	The markings on the bow were covered in fouling and was not clearly legible	Marking	13/08/19	23/12/19
556	GUAN WANG NO.21	04/07/19	The header information of all the fishing logbook pages checked by the observer were not completed, and the log sheets could therefore not effectively be linked with the LSTLV	Logbook	13/08/19	23/12/19



Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
556	LIEN SHENG FA	04/07/19	The VMS was connected to the power supply switch	VMS	13/08/19	23/12/19
556	LIEN SHENG FA	04/07/19	The header information of all the fishing logbook pages checked by the observer were not completed, and the log sheets could therefore not effectively be linked with the LSTLV	Logbook	13/08/19	23/12/19
566	YNG HSING NO.23	13/06/19	Both VMS units on board were wired via a circuit breaker switch.	VMS	25/09/19	19/11/19
566	HSIANG FA NO.16	15/06/19	The VMS was connected to a power switch. To demonstrate this, two separate photographs were taken as the switch was not situated close to the unit.	VMS	25/09/19	19/11/19
566	LIEN CHING YU NO.127	15/06/19	The VMS was connected to the power via a circuit breaker switch	VMS	25/09/19	19/11/19
566	MENG FA NO.322	16/06/19	The power lights of the VMS system were not illuminated.	VMS	25/09/19	19/11/19
566	CHARNG LUEN NO.22	29/06/19	Both the VMS units were wired to power switches.	VMS	25/09/19	19/11/19
566	YI JEN CHUN NO.668	04/07/19	The VMS was wired via a circuit breaker switch	VMS	25/09/19	19/11/19
566	WEI FA	18/07/19	The VMS was connected to a power supply unit with an on/off switch.	VMS	25/09/19	19/11/19
566	YNG HSING NO.23	25/07/19	Both VMS units were wired via circuit breaker switches.	VMS	25/09/19	19/11/19
566	CHIEN WEI NO.3	26/07/19	Both VMS units were wired via circuit breaker switches.	VMS	25/09/19	19/11/19
566	LIEN CHING YU NO.127	27/07/19	The VMS was connected to a power switch.	VMS	25/09/19	19/11/19
568	FENG CUO NO.668	18/05/19	Vessel identified an AMEC CAMINO-101 CLASS B AIS unit (Model: CAMION-101HA 12 5W) as the vessels VMS unit. No other internal models were seen, antenna was for an ARGOS MARGE	VMS	25/09/19	17/12/19
569	AN WEN FA NO.36	22/06/19	The header information of the fishing logbook (Vessel and trip details, and the signature of the LSTLV Captain) were not included with logbook entries. The absence of this information did not effectively link the logbook to the LSTLV	Logbook	25/09/19	13/12/19
569	AN WEN FA NO.36	22/06/19	The LSTLV's CLS Thorium TST-100 (VMS) was connected to an On/Off switch.	VMS	25/09/19	13/12/19

Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
569	AN WEN FA NO.2	23/06/19	The LSTLV's CLS Thorium TST-100 (VMS) was connected to an On/Off switch	VMS	25/09/19	13/12/2019
569	AN WONE FA NO.3	23/06/19	The vessel name displayed on the starboard bow (the side visible from the CV) was not practically legible.	Marking	25/09/19	13/12/2019
569	AN WONE FA NO.3	23/06/19	The LSTLV's CLS Triton (iridium) (VMS) was connected to an On/Off switch	VMS	25/09/19	13/12/2019
569	MAAN YU FENG NO.2	25/06/19	The LSTLV's CLS Thorium TST-100 (VMS) connected to an On/Off switch	VMS	25/09/19	13/12/2019
569	CHENG QING FENG NO.8	08/07/19	The LSTLV's CLS triton (iridium) (VMS) was On/Off switch (on the power supply).	VMS	25/09/19	13/12/2019
569	CHENG QING FENG	08/07/19	The LSTLV's CLS triton (iridium) (VMS) was connected to an On/Off switch on the power supply.	VMS	25/09/19	13/12/2019
569	SHIN LIAN FA NO.36	11/07/19	The LSTLV's Argos MARGE V2 (VMS) was connected to an On/Off switch	VMS	25/09/19	13/12/2019
569	FENG GUO NO.168	14/07/19	The LSTLV Captain verified that both the Argos MARGE V2 and Thrane & Thrane Capsat TT-3022D were connected to the power switches situated next to the units although it was unclear when their cabling was followed	VMS	25/09/19	13/12/2019
569	LIEN SHENG FA	15/07/19	The LSTLV's Argos MARGE V2 (VMS) was connected to an On/Off switch.	VMS	25/09/19	13/12/19
569	LIEN SHENG FA	15/07/19	The logbook header information (Vessel, gear and trip details) was not completed on all the pages inspected. The logbook could therefore not be effectively linked to the vessel.	Logbook	25/09/19	13/12/19
569	FENG HAI NO.6	26/07/19	The LSTLV's CLS triton (iridium) (VMS) was connected to a power supply which was fitted with a switch	VMS	25/09/19	13/12/19
571	CHENG QING FENG NO.8	16/07/19	The vessels NRN and name on the bow were obscured by algal growth, making them difficult to read clearly	Marking	15/10/19	13/12/19
573	WEN DAR	07/08/19	The VMS was fitted with a power switch	VMS	15/10/2019	16/12/19
573	FENG KUO NO.669	10/08/19	The VMS unit show to the observer during inspection was switched off	VMS	15/10/2019	16/12/19
573	HUNG JUNG NO.68	10/08/19	The ATF was altered with a white strip glued over the bottom section of the page which could not be removed. The observer could therefore not verify if the ATF contained the correct signature and stamp to verify whether the correct Competent Authority authorised the ATF	ATF	15/10/2019	16/12/19

Deploy. number	Vessel name	Inspection date	Inspection comment	Infraction type	Date report sent to CPC	Date feedback from CPC
584	JAIN HSUAN NO.202	06/09/19	The LSTLV Vessel Monitoring System (VMS) CLS Triton Iridium was fitted with a power switch that was switched on at time of the on-board inspection	VMS	15/10/2019	06/12/19
584	CHARNG LUEN NO.22	16/09/19	The LSTLV VMS (Argos CLS LEO) was fitted with a power switch that was on at time of the on-board inspection	VMS	15/10/2019	06/12/19
578	CHENG QING FENG NO.8	24/08/19	The vessels NRN and name on the bow were obscured by algal growth, making them difficult to read clearly.	Marking	30/10/19	26/12/19
579	HE JHEN YI NO. 126	04/08/2019	The Logbook of the He Jen Yi No.126 shown to the observer had pages missing and therefore was not numbered consecutively	Logbook	31/10/19	23/12/19
579	YU FENG NO. 67	06/08/2019	The markings on the stern of the ship were covered in rust, making the stern markings difficult to read clearly at a distance.	Logbook	31/10/19	23/12/19
585	CHIEN WEI NO.3	22/09/19	The Argos VMS (ID 507169) was connected via a power switch marked "ARGOS".	VMS	15/11/19	06/12/19
586	FENG CUO NO.668	25/09/19	The observer was not presented with a recognisable VMS unit while carrying out the inspection. The below AIS unit (AMEC CAMINO - 101 CLASS B AIS) was presented by the vessel at the time of inspection.	VMS	21/11/19	06/12/19
580	HUNG JUNG NO.68	05/10/19	The ATF document was partially obscured by a label pasted over the front of the document which was laminated. This did not allow the observer to verify the signatures on the document. The captain did not have another copy of the document on board.	ATF	21/11/19	06/12/19